Atty. Dkt. No. 017499-0171



Applicant: Tokihiro UMEMURA et al.

Title: POWER CONTROL DEVICE WITH ELECTRIC

DOUBLE LAYER CAPACITOR UNIT CELLS

Appl. No.: 09/903,678

Filing Date: 07/13/2001

Examiner: Unassigned

Art Unit: 2836

## UNDER 37 CFR § 1.56

Commissioner for Patents PO Box 1450 Alexandria, Virginia 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

## TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits.

## RELEVANCE OF EACH DOCUMENT

The foregoing documents came to Applicants' attention during a search of the corresponding European application. A copy of the European Search Report setting forth the portion of each reference considered relevant by the examiner is attached.

An English translation of foreign-language document A7 is not readily available. However, the absence of such translation does not relieve the PTO from its duty to consider the submitted foreign language document (37 CFR §1.98 and MPEP §609).

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date May 14, 2003

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MODIFIED PTO/SB/08 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449B/P Complete if Known 09/903,678 **Application Number** INFORMATION DISCLOSURE 07/13/2001 Filing Date STATEMENT BY APPLICANT Tokihiro UMEMURA First Named Inventor 2 20H ( Date Submitted: MAT 2836 Group Art Unit Examiner Name Unassigned (use as many sheets as necessary) 017499-0171 Attorney Docket Number 1 Sheet

				U.S. PATENT DOCUMENTS	;	
Examiner Initials*	Cite No.	U.S. Patent	Document  Kind  Code² (if	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A1	5,998,960	known)	YAMADA ET AL	12/07/1999	gales y

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Examiner initials*	Cite No 1	For Office <sup>3</sup>	eign Patent □ Number⁴	Nocument  Kind Code <sup>5</sup> (if known)	Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns Lines, Where Relevant Passages or Relevant Figures Appear	Te
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	NON PATENT LITERATURE DOCUMENTS	
Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T6
A3	S. Nomoto et al., "Advanced Capacitors and their Application", Journal of Power Sources, Vol. 97-98, July 2001, pages 807-811.	
A4	R. Kötz, et al., "Principles and Applications of Electrochemical Capacitors", Electrochemicia Acta , Vol. 45 No. 15-16, May 2000, pages 2483-2498.	
A5	Siemens Matsushita Components, "UltraCap Datasheet", 3 April 1999, XP-002233312, Retrieved from the Internet.	
. A6	A. Schneuwly, et al., "Properties and Applications of Supercapacitors From the State-of-the-Art to Future Trends", Proceedings PCIM 2000, June 2000, XP-002233313, Retrieved from the Internet, pages 1-10.	
A7	V Härri, "Superkapazitäten revolutionieren Energiespeicher", Superkondensatoren Bulletin SEV/VSE, 1/1999, XP-002233314, Retrieved from Internet, pages 25-31.	:
	A3 A4 A5 A6	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.  A3 S. Nomoto et al., "Advanced Capacitors and their Application", Journal of Power Sources, Vol. 97-98, July 2001, pages 807-811.  A4 R. Kötz, et al., "Principles and Applications of Electrochemical Capacitors", Electrochemicia Acta, Vol. 45 No. 15-16, May 2000, pages 2483-2498.  A5 Siemens Matsushita Components, "UltraCap Datasheet", 3 April 1999, XP-002233312, Retrieved from the Internet.  A6 A. Schneuwly, et al., "Properties and Applications of Supercapacitors From the State-of-the-Art to Future Trends", Proceedings PCIM 2000, June 2000, XP-002233313, Retrieved from the Internet, pages 1-10.  A7 V. Härri, "Superkapazitäten revolutionieren Energiespeicher", Superkondensatoren Bulletin SEV/VSE,

Examiner	Date
Signature	Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant

1 Unique citation designation number. <sup>2</sup>See attached kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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